

FACTS**WHAT DOES CUSO Financial Services, LP DO WITH YOUR PERSONAL INFORMATION?**

Why?	Financial companies choose how they share your personal information. Federal law gives consumers the right to limit some but not all sharing. Federal law also requires us to tell you how we collect, share, and protect your personal information. Please read this notice carefully to understand what we do.
What?	<p>The types of personal information we collect and share depend on the product or service you have with us. This information can include:</p> <ul style="list-style-type: none"> • Social Security Number and Retirement Assets • Income and Investment Experience • Assets and Account Transactions <p>When you are <i>no longer</i> our customer, we continue to share your information as described in this notice.</p>
How?	All financial companies need to share customer personal information to run their everyday business. In the section below, we list the reasons financial companies can share their personal information; the reasons CUSO Financial Services, LP chooses to share; and whether you can limit this sharing.

Reasons we can share your personal information	Does CUSO Financial Services, LP share?	Can you limit this sharing?
For our everyday business purposes— such as to process your transactions, maintain your account(s), respond to court orders and legal investigations, or report to credit bureaus	Yes	No
For our marketing purposes— to offer our products and services to you	No	We don't share
For joint marketing with other financial companies	Yes	No
For our affiliates' everyday business purposes— information about your transactions and experiences	Yes	No
For our affiliates' everyday business purposes— information about your creditworthiness	No	We don't share
For our affiliates to market to you	No	We don't share
For non-affiliates to market to you	No	We don't share

Questions?	858-530-4400 or go to www.cusonet.com
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Who we are

Who is providing this notice?

CUSO Financial Services, LP

What we do

How does CUSO Financial Services, LP protect my personal information?

To protect your personal information from unauthorized access and use, we use security measures that comply with federal law. These measures include computer safeguards and secured files and buildings. Our online environment uses industry-leading technologies, including layered security and access controls over personal information.

How does CUSO Financial Services, LP collect my personal information?

We collect your personal information, for example, when you

- Open an account or enter into an advisory account
- Tell us about your investment retirement portfolio
- Seek advice about your investments

Why can't I limit all sharing?

Federal law gives you the right to limit only

- Sharing for affiliates' everyday business purposes—information about your creditworthiness
- Affiliates from using your information to market to you
- Sharing for non-affiliates to market to you

State laws and individual companies may give you additional rights to limit sharing.

Definitions

Affiliates

Companies related by common ownership or control. They can be financial and nonfinancial companies.

- Our affiliates include CUSO Financial Services, LP and Sorrento Pacific Financial, LLC

Non-affiliates

Companies not related by common ownership or control. They can be financial and nonfinancial companies.

- We do not share with non-affiliates

Joint marketing

A formal agreement between nonaffiliated financial companies that together market financial products or services to you.

- We have an agreement with your credit union to jointly offer investment services and insurance to help meet your financial goals.

Other important information

If your state offers greater protection than federal law, we will comply with the state requirements.